



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

To: Commissioners
From: Erin Gordon, Candidate Registrar
Date: December 2, 2024
Re: Request for Waiver of Late Filing Penalty by Candidate Scott Cyrway

Representative Scott Cyrway is an experienced candidate who ran for State Senate with Maine Clean Election Act (MCEA) funding. He was required to file a 24-Hour Report by 11:59 p.m. on October 29, 2024. The report was filed on October 30, one day late. The preliminary penalty for the late report is \$43.98 and Allan Rowe, Rep. Cyrway's treasurer, is requesting a full waiver of the penalty on the campaign's behalf.

LEGAL REQUIREMENTS

Candidates for legislature are required to file the 24-Hour Report no later than 11:59 p.m. on the day following a single expenditure of more than \$1,000 made in the last thirteen days before the election. 21-A M.R.S. § 1017(3-A)(C). When a candidate files a late campaign finance report, the amount of the preliminary penalty is set by a statutory formula which takes into consideration a percentage of the total contributions or expenditures, whichever is greater, the number of prior violations within a two-year period, and the number of days the report is late. 21-A M.R.S. § 1020-A(4-A). The candidate may request a waiver of the preliminary penalty due to mitigating circumstances under § 1020-A(2)&(6).

DISCUSSION

On October 22, Candidate Registrar Erin Gordon sent an email reminder to all candidates and treasurers advising that the 24-Hour Reporting Period would begin the following day and extend through Monday, November 4. The email stated clearly that the transaction would not satisfy the filing requirement; the system would create a report once the transaction was entered and that report must subsequently be filed. Rep. Cyrway and Mr. Rowe received this email.

Based on the statutory formula for calculating late-filing penalties, the preliminary penalty amount is \$43.98, calculated as follows:

| Report | Deadline | Financial Activity | Penalty Rate | Days Late | Preliminary Penalty |
|----------------|-----------------|---------------------------|---------------------|------------------|----------------------------|
| 24-Hour Report | 10/29/2024 | \$2,199.00 | 2% | 1 | \$43.98 |

In the waiver request, Mr. Rowe writes that in all his years of serving as Rep. Cyrway’s treasurer (every election since 2014), he has never missed a deadline. He recognizes that it was his oversight that led to the report not being filed after he entered the transaction but requests a waiver due to the accidental nature of the late filing. He logged in and filed the report properly the following day, as soon as he realized the error.

STAFF RECOMMENDATION

Timely campaign finance reports are an integral part of campaign finance transparency and disclosure. The Legislature deems timely filing to be of the utmost importance, as the statute requires late-filing penalties to increase by each day past the due date the report is filed. All campaigns are expected to pay attention to and meet all reporting deadlines, especially when multiple reminders are sent for each due date. Even when candidates have missed deadlines acting in good faith, the Commission has assessed penalties to reinforce the importance of filing campaign finance reports on time.

A chart of candidate penalties since 2018 is attached. In recent election years, the Commission generally has reduced late-filing penalties against candidates to a range of \$300 - \$350. Some recent examples, from 2023 and 2024, include:

- from \$1,573.73 to \$350 – late 24-Hour Report by Ronald Russell
- from \$1,826.24 to \$350 – late 24-Hour Report by Reagan Paul
- from \$498.50 to \$300 – late 42-Day Pre-General Report by Richard Cyr

The Commission has declined to waive preliminary penalties that were already below \$300, most recently:

- \$135.43 – late 42-Day Pre-General Report by Scott Gaiason
- \$200.00 – late 42-Day Pre-Primary Report by Ethan Alcorn
- \$64.90 – late 42-Day Pre-General Report by Flavia DeBrito

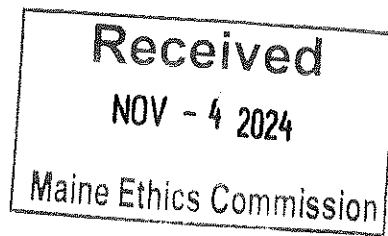
Because Rep. Cyrway's preliminary penalty of \$43.98 is already below the usual range of penalties when a candidate files a campaign finance report late, the Commission staff does not recommend a waiver of the penalty or violation.

Late Filed Report Penalty

From: Allan Rowe (allanrowe777@yahoo.com)

To: allanrowe777@yahoo.com

Date: Thursday, October 31, 2024 at 12:58 PM EDT



To: Maine Ethics Commission

Enclosed is a check for the requested penalty amount of \$43.98. I did not realize that I probably didn't hit the submit button to make sure the expenditure was recorded. I had put the amount online and figure it must have been my oversight.

This was certainly not done on purpose and is the first time that I have missed a deadline in several years of being treasurer for Scott Cyrway. I am requesting a waiver if possible, though I do understand the importance of getting the reports in on a timely fashion.

Thanks for your consideration.

-Allan Rowe
Treasurer for Scott Cyrway for Senate

A handwritten signature in black ink, appearing to read "Allan Rowe". The signature is written in a cursive style with a large, looping flourish at the end.



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

October 30, 2024

Scott Cyrway
463 Benton Road
Albion, Maine 04910

Re: Notice of Violation and Penalty for Late-Filed 11-Day Pre-General Report

Dear Mr. Cyrway:

You were required to file a 24-Hour Report on October 29, 2024 by 11:59 p.m. (21-A M.R.S. § 1017 (3-A)(C)), but the report was not filed until October 30, 2024. Under the Commission's statutes, the late filing of a report triggers an enforcement process (21-A M.R.S. § 1020-A(4-A)). The Commission staff has made a preliminary finding of violation and determined the preliminary penalty for filing the report late is **\$43.98**. Please see the next page for the penalty calculation.

You may request the Commission waive the penalty in whole or in part or find there was no violation. *The request must be made within 14 calendar days of your receipt of this notice.* The request must be in writing and contain a full explanation of the reasons the report was filed late. Upon receiving your request, the Commission staff will schedule your request to be heard at an upcoming Commission meeting. You or your designee will have an opportunity to be heard at the meeting or you may submit a sworn statement to the Commission explaining the mitigating circumstances for its consideration.

The Commission may waive or reduce the penalty or find there was no violation if it determines the report was late due to mitigating circumstances, which are defined as (1) a valid emergency; (2) an error made by the Commission staff; or (3) relevant evidence that a bona fide effort was made to file the report on time. (21-A M.R.S. § 1020-A(2)) The Commission may also consider whether the penalty is disproportionate to the size of the candidate's campaign, the level of experience of the candidate, treasurer or campaign staff, or the harm to the public caused by the late disclosure.

The staff requests you pay the preliminary penalty within 14 days of the date of your receipt of this notice if you do not intend to request a waiver. Please use the payment statement on the next page when paying by mail. You may also make a payment online at www.maine.gov/ethics by clicking the "Penalty Payment" link. Please contact me at (207) 287-3651 or erin.gordon@maine.gov if you have any questions.

Sincerely,



Erin Gordon
Candidate Registrar

Penalty Calculation

The penalty for filing a campaign finance report late is based on a percentage of the total contributions or expenditures for the reporting period, whichever is greater, multiplied by the number of days late. The percentage is based on your history of past violations: 2% for the first violation, 4% for the second violation, and 6% for the third and each subsequent violation. Violations for late-filed reports accumulate in the two-year period beginning on January 1st of each even-numbered year. A penalty accrues daily beginning on the day following the filing due date. Any penalty of less than \$25 is automatically waived.

How Your Penalty Was Calculated

| | | | |
|--|------------|----------------------------|------------|
| Filer: Scott Cyrway | | | |
| Late-Filed Report: 24-Hour Report | | | |
| Contributions | \$00 | Penalty Base Amount | \$2,199.00 |
| Expenditures | \$2,199.00 | Percentage | 2% |
| Due Date | 10/29/2024 | Daily Accrual Rate | \$43.98 |
| Date Filed | 10/30/2024 | Days Late | 1 |
| Previous Violations | 0 | Your Total Penalty | \$43.98 |

Payment Statement and Payment Options

From: Scott Cyrway

Penalty Amount: \$43.98

Amount Enclosed: _____

Check/M.O. #: _____

BY MAIL: Enclose this payment statement with your payment.

Please make check or money order payable to: Treasurer, State of Maine

Mail to: Maine Ethics Commission
135 State House Station
Augusta, Maine 04333-0135

ONLINE: Go to www.maine.gov/online/ethics/penalties.



Commission on Governmental Ethics and Election Practices
Mail: 135 State House Station, Augusta, Maine 04333
Office: 45 Memorial Circle, Augusta, Maine
Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

24-HOUR REPORT OF CONTRIBUTIONS AND EXPENDITURES

2024 CAMPAIGN YEAR

| COMMITTEE | | TREASURER | |
|---|------------|--|--|
| Hon. Scott Wynn Cyrway 463 Benton Road Albion, ME 04910 PHONE:(207) 485-1308 EMAIL: scyrway@gmail.com | | Mr. Allan E Rowe 178 Madison Avenue Skowhegan, ME 04976 PHONE:(207) 474-1771 EMAIL: allanrowe777@yahoo.com | |
| REPORT | DUE DATE | REPORTING PERIOD | |
| 24-Hour Report of Contributions and Expenditures | 10/29/2024 | 10/28/2024-10/28/2024 | |

FINANCIAL ACTIVITY SUMMARY

| CONTRIBUTIONS AND EXPENDITURES | |
|--------------------------------|------------|
| 1. TOTAL CONTRIBUTIONS / LOANS | \$0.00 |
| 2. TOTAL EXPENDITURES | \$2,199.00 |
| 3. TOTAL DEBTS | \$0.00 |

I, Allan E. Rowe, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: Allan E. Rowe
REPORT FILED ON: 10/30/2024 12:11:14 PM
LAST MODIFIED:
COMMITTEE ID: 481766

24-HOUR EXPENDITURE AND PAYEE INFORMATION

| EXPENDITURE TYPES | | | | |
|---|---|-------------------------------|------|-------------------|
| <p>CNS Campaign consultants</p> <p>CON Contribution to other candidate, party, committee</p> <p>EQP Equipment (office machines, furniture, cell phones, etc.)</p> <p>FND Fundraising events</p> <p>FOD Food for campaign events, volunteers</p> <p>LIT Print and graphics (flyers, signs, palmcards, t-shirts, etc.)</p> <p>MHS Mail house (all services purchased)</p> <p>OFF Office rent, utilities, phone and internet services, supplies</p> <p>OTH Other</p> <p>PHO Phone banks, automated telephone calls</p> | <p>POL Polling and survey research</p> <p>POS Postage for U.S. Mail and mail box fees</p> <p>PRO Other professional services</p> <p>PRT Print media ads only (newspapers, magazines, etc.)</p> <p>RAD Radio ads, production costs</p> <p>SAL Campaign workers' salaries and personnel costs</p> <p>TRV Travel (fuel, mileage, lodging, etc.)</p> <p>TVN TV or cable ads, production costs</p> <p>WEB Website design, registration, hosting, maintenance, etc.</p> | | | |
| DATE OF EXPENDITURE | PAYEE | REMARK | TYPE | AMOUNT |
| 10/28/2024 | Spectrum Marketing CO 95 EDDY ROAD SUITE 101 MANCHESTER, NH, 03102 | 150 \$X@ signs, 50 Yard Signs | LIT | \$2,199.00 |
| TOTAL EXPENDITURES FOR CANDIDATE: | | | | \$2,199.00 |

From:
Bcc:

Gordon, Erin

"higginschelsie@gmail.com"; "goffarostook@hotmail.com"; "4nomore2007@gmail.com";
"daisvjordan322@gmail.com"; "dougkimmel@myfairpoint.net"; "micmacmkt@msn.com";
"lesleyastone@mac.com"; "simmonsforregisterofprobate@gmail.com"; "bangorbob@aol.com";
"tim@dsyarts.com"; "michaela@cabotlegalservices.com"; "southatkinson@yahoo.com";
"cayfordlaw@myfairpoint.net"; "Cinannj@gmail.com"; "kevin.kelley.423@gmail.com"; "jefftrafton@gmail.com";
"mike@sherrardagency.com"; "peter.a.johnson1001@gmail.com"; "NORDDUMAINE@GMAIL.COM";
"aprilnesbitt32@gmail.com"; "cathygf78@gmail.com"; "julietteprobate@gmail.com"; "southernbelle@rivah.net";
"rebeblake@gmail.com"; "ssamd@icloud.com"; "paulp@paradishardware.com"; "allenedjuand@aol.com";
"hyndforknoxcountydistrict2@gmail.com"; "marcrescue@aol.com"; "pagegvp@gmail.com";
"RENEE.STUPAK68@GMAIL.COM"; "hilari.simmons@gmail.com"; "dtremble01@gmail.com";
"gary@sutherlandweston.com"; "andre@andrecushing.com"; "ben@cabotlegalservices.com";
"gerald@austinlawmaine.com"; "commissionertorbett@gmail.com"; "stamps@beeline-online.net";
"robertsezak@gmail.com"; "caringhandsinyourhome@hotmail.com"; "cypjoseph50@gmail.com";
"tanya.g.belanger@somersetcounty-me.org"; "swpeavey@hotmail.com"; "alan4waldo@gmail.com";
"kelley11512@gmail.com"; "elise@elisebrownwaldo3.net"; "timparkerandson@hotmail.com";
"rhodesmatthew431@gmail.com"; "billyhoward207@yahoo.com"; "cammisgram78@hotmail.com";
"joejoe.sleeper@gmail.com"; "rushalane4@gmail.com"; "tom.gagnon@hotmail.com";
"brownell.kristyn@gmail.com"; "TSMITH@MAINEFIRE.NET"; "bonevans95@earthlink.net";
"phoyt825@gmail.com"; "luann.yetter@gmail.com"; "scsmith.wbbf@gmail.com"; "winniejohnson66@gmail.com";
"jcsproule@roadrunner.com"; "tiffgaspar@gmail.com"; "megan.smith2323@gmail.com"; "sfkco@gmail.com";
"esullivan@baldaccilaw.com"; "capecim@husson.edu"; "debraplowman@cs.com"; "janaherbener1@gmail.com";
"grrussell75@gmail.com"; "tvandernoot69@gmail.com"; "mary@batakis.com"; "eedickens@gmail.com";
"jobblom@yahoo.com"; "jamessteelewin@gmail.com"; "pgcrockett@yahoo.com"; "emahaleris@gmail.com";
"whiten0048@gmail.com"; "allanrowe777@yahoo.com"; "eloise.vitelli@gmail.com";
"michelle@stonegunshop.com"; "vmclaughlin77@gmail.com"; "suebernard70@gmail.com";
"bamm4@pwless.net"; "trey@treystewart.com"; "esbrownell@gmail.com"; "btfarrin@roadrunner.com";
"raevans95@earthlink.net"; "reguerin@gmail.com"; "katieformaine@gmail.com"; "russellblack@juno.com";
"jonathangoble@mac.com"; "senator.marianne.moore@gmail.com"; "grohoskiformaine@gmail.com";
"shhutch01@gmail.com"; "mike@tippingforsenate.com"; "lkenney@sbkconsulting.com";
"jbaldacci@baldaccilaw.com"; "cyr2523@hotmail.com"; "michelelabreedaniels@gmail.com";
"dhaggan@gmail.com"; "chip.curry@gmail.com"; "meyersingermany@icloud.com"; "pinny14@gmail.com";
"scott@scottrocknak.com"; "teamreny@gmail.com"; "dharmon.senate@outlook.com";
"craighickman@rocketmail.com"; "sbmcd17@gmail.com"; "raegan.larochelle@gmail.com";
"dickbradstreet@gmail.com"; "mrwhite04901@gmail.com"; "scrway@gmail.com"; "watsont822@gmail.com";
"jtiberlake_us@yahoo.com"; "JLMartin@maine.edu"; "drucienski@gmail.com"; "dannymartin697@gmail.com";
"ralbert@roadrunner.com"; "david.mccrea46@gmail.com"; "markbabin1960@gmail.com";
"samantha.phyllis.paradis@gmail.com"; "twostrokesmoke111@yahoo.com"; "KGFREEMAN79@GMAIL.COM";
"Underwoodforstatesenate@gmail.com"; "mellyann11@gmail.com"; "donald.j.ardell@gmail.com";
"nicole.j.collins24@gmail.com"; "tracyquinhouse@gmail.com"; "darinmcgaw@pwless.net";
"rtmingos@gmail.com"; "pete.colman66@gmail.com"; "redsox38@mgemaine.com"; "skiffwell@gmail.com";
"tiffany.strout@yahoo.com"; "normbamford@yahoo.com"; "billybob518709@msn.com";
"james.mark.worth@gmail.com"; "russell.white@grifols.com"; "gary@garyfriedmann.com";
"sgray5@roadrunner.com"; "hollyreaton@gmail.com"; "jobstermobster729@yahoo.com";
"ronbussell713@gmail.com"; "Steve@Bishopforus.com"; "danioh2121@gmail.com"; "patjude2268@gmail.com";
"ambureen@gmail.com"; "reda.edelman@gmail.com"; "lapointefor21@gmail.com"; "Laurad.supica@gmail.com";
"coneal2024@gmail.com"; "amyjoeder@gmail.com"; "justincartier@proton.me"; "kijjiperry@aol.com";
"captan007@gmail.com"; "laurieosherfororono@gmail.com"; "thomas.casey@maine.edu";
"james.dill@maine.edu"; "tm.ouellette@yahoo.com"; "crockettpeter13@gmail.com"; "Riph20@aol.com";
"ndipentino@gmail.com"; "irenegifford2@gmail.com"; "dolbydiva@yahoo.com"; "javner007@gmail.com";
"persevere@myfairpoint.net"; "jwhitegunsmithing@gmail.com"; "miamifins84@gmail.com";
"chad@chadperkins.us"; "khaggan@rsu22.us"; "shelby.d.wright@gmail.com"; "cuddy.scott@gmail.com";
"reaganformaine@gmail.com"; "ellisforhouse38@gmail.com"; "benjaminhymesdistrict38@gmail.com";
"jan.dodge@yahoo.com"; "stephenj@slouch.org"; "mray41693@gmail.com";
"mclaughlinfordistrict40@gmail.com"; "vdoudera@gmail.com"; "Craymond1995@gmail.com";
"valligeiger@gmail.com"; "jamiehopkins2024@outlook.com"; "ahmatlack@gmail.com";
"heatherspragueformaine@gmail.com"; "rthombs66@gmail.com"; "pluecker4rep@gmail.com";
"jstonejstone207@gmail.com"; "abden1971@gmail.com"; "info@lydiacrafts.com"; "mldax@aol.com";
"waynefarrin@gmail.com"; "edpole47@gmail.com"; "hbstover112@gmail.com"; "bill.hunt.me48@gmail.com";
"aheplerwoolwich@gmail.com"; "ecnivnworb@gmail.com"; "sinclairforhouse@gmail.com";
"kmehae3@yahoo.com"; "Rmacias4794@gmail.com"; "tbrillant@gmail.com"; "sallyforstaterrep@gmail.com";
"DWG1671@gmail.com"; "judytunkle@gmail.com"; "chiroworks@yahoo.com"; "karenlmontell@gmail.com";
"scott.williams0268@gmail.com"; "dan.shagoury@gmail.com"; "joeyoydfornaine@gmail.com";
"greenwood611@aol.com"; "kenta@1bigsustainableisland.org"; "trockhasenfus@gmail.com";
"donnapiccininni@gmail.com"; "dan.newman615@gmail.com"; "sharonfrost87@gmail.com";
"rollinsappraisal@gmail.com"; "marcus.emerson.foraugusta@gmail.com"; "bill@bridgeo.net";
"wendyleemacdowell@gmail.com"; "laurajonesHR61@gmail.com"; "aliciac@roadrunner.com";
"pam.swift4maine@gmail.com"; "katrinaformaine@gmail.com"; "leetrahanmehd63@gmail.com";
"pflynn@cbplourde.com"; "fmdebrito@gmail.com"; "ramacolm.maine@gmail.com"; "cassiejulia@live.com";
"tammydcrosby@yahoo.com"; "entaoed@gmail.com"; "100speaker@gmail.com"; "mtowndvm1995@gmail.com";
"shellevrudnicki@gmail.com"; "troydemocrats@gmail.com"; "collamoreforme@gmail.com";
"jessica.b.blanchard@outlook.com"; "dacray@msn.com"; "cecilgray@rocketmail.com"; "jipoirier@beeline-online.net";
"anndorney@gmail.com"; "jack.ducharme58@gmail.com"; "lizformaine@gmail.com";
"cynthiasoma914@gmail.com"; "mikesoboleski@aol.com"; "tiffany@bond4.me"; "gregkimber72@gmail.com";
"edfdchief@hotmail.com"; "stephan.bunker@gmail.com"; "rdgavuin@gmail.com"; "hoke4house@gmail.com";

"sheilalyman2020@gmail.com"; "jparent1999@yahoo.com"; "dianepinette@gmail.com";
"dianemartin443@gmail.com"; "jackie2@roadrunner.com"; "fvJustin@gmail.com"; "young.26@hotmail.com";
"tommerrow@gmail.com"; "shauna.marie85.sf@gmail.com"; "SHELLYANNMOUNTAIN@GMAIL.com";
"sept70new@gmail.com"; "kaitlyn.d.martin@gmail.com"; "ardell.emma11@gmail.com";
"thecounty8@yahoo.com"; "hilltopnomad@gmail.com"; "kathymcgaw@pwless.net";
"bouchardjohnm@gmail.com"; "foley0@gmail.com"; "michaelook501@hotmail.com"; "mimhall57@gmail.com";
"brad.bamford@yahoo.com"; "billybob518709@msn.com"; "astephensme@gmail.com";
"jlwalexander96@gmail.com"; "eliza.oldach@gmail.com"; "deerisle16@icloud.com"; "jellisonswans@yahoo.com";
"veronaislandflowers@yahoo.com"; "Imusetti@tlcbookkeeping.com"; "rbilancia@roadrunner.com";
"jenn@tbb.biz"; "Carajpelletier@gmail.com"; "essex103@aol.com"; "tinalbelanger@gmail.com";
"Chris@chrispeary.com"; "barb@cyrassociates.me"; "Wshinwells7@gmail.com"; "aaron.wilde.154@gmail.com";
"daviddesisles@gmail.com"; "becky3co@gmail.com"; "tomcasey7490@gmail.com"; "dilljm@yahoo.com";
"cherlyamarshall63@gmail.com"; "dwdmllincoln17@gmail.com"; "foxgreengroup@gmail.com";
"bruce@mainebarkers.com"; "johnfredyork@yahoo.com"; "katkinkead@gmail.com";
"john@skifarelloneschile.com"; "blake.morrison87@gmail.com"; "debraplowman@cs.com";
"ryan@rhafener.com"; "scottmislerpsyd@gmail.com"; "kelly@reagan.com"; "queensvictoria@gmail.com";
"gabriellehymespt@yahoo.com"; "kyoung2199@gmail.com"; "maplenut4@gmail.com"; "barbaragould@gwi.net";
"mclaughlinfordistrict40@gmail.com"; "knitsbyxomom@gmail.com"; "Lindseyraymond6@gmail.com";
"jamesfitz@verizon.net"; "joenacho84@gmail.com"; "tedmatlack1@gmail.com"; "jonrobishaw@gmail.com";
"cheney970@aol.com"; "meinersmann@gmail.com"; "mjberger133@gmail.com";
"boucher.matthew@gmail.com"; "ccaeg5@gmail.com"; "ka1rm@aol.com"; "jim.f.amaral@gmail.com";
"metviergrover@hotmail.com"; "breannadavis361@gmail.com"; "danzajdel@yahoo.com";
"durfodd@comcast.net"; "jireno521@gmail.com"; "petermacdonaldblachly@gmail.com";
"osmom3@outlook.com"; "JackRyanMcGrath@gmail.com"; "marie_rice2000@yahoo.com";
"murph.ra@gmail.com"; "DAJU2@GWI.NET"; "paultunkle@gmail.com"; "drkelly91@yahoo.com";
"SILO@AOL.COM"; "bwilliams54@gmail.com"; "michaelatoisel@gmail.com"; "phil_wiseman@yahoo.com";
"jdoyle2676@gmail.com"; "rusuke@gmail.com"; "Patriceputman@gmail.com"; "pnewman0317@gmail.com";
"dgetchell43@gmail.com"; "paul_castonguay@yahoo.com"; "marcus.emerson.foraugusta@gmail.com";
"betsypohl23@gmail.com"; "Shelleyrudnicki@gmail.com"; "mattpitcher@hotmail.com";
"lcorriveau3@outlook.com"; "wsyd162@gmail.com"; "carguy20011@yahoo.com"; "jillfaloona@yahoo.com";
"pflynn@cbplourde.com"; "pbatheart@gmail.com"; "msgmal56@gmail.com"; "david.johnson.jr@gmail.com";
"shelleyrudnicki@gmail.com"; "nodfarm48@gmail.com"; "townfam@roadrunner.com"; "71mytoy@gmail.com";
"youbette@me.com"; "Jeffcarmichael65@gmail.com"; "evanee ghen@outlook.com";
"grammydarlene428@yahoo.com"; "sherriegray@rocketmail.com"; "6121ap@gmail.com";
"jack.ducharme58@gmail.com"; "lizformaine@gmail.com"; "barnesbarbara414@gmail.com";
"dulchoat@gmail.com"; "spratt0727@gmail.com"; "matthewsmith49@icloud.com"; "tkjcullenberg@gmail.com";
"douel529@gmail.com"; "kas1@fairpoint.net"

Subject: Starts tomorrow: 24-Hour Reporting Period
Date: Tuesday, October 22, 2024 2:14:00 PM

Good afternoon candidates and treasurers,

This email is to remind you that the 24-Hour Reporting Period begins **Wednesday, October 23 and ends on Monday, November 4**. During the 24-Hour Reporting Period, any transactions in the following list must be reported within 24 hours on the Commission's eFiling website:

- Any single contribution of \$1,000 or more
- Any single loan of \$1,000 or more
- Any single expenditure of \$1,000 or more
- Any single unpaid debt or unpaid order of \$1,000 or more
 - This is regardless of whether you have an invoice or have made a payment on a purchase. If you agree to or place an order with a vendor that is, or may be, \$1,000 or more, you are required to report it as a debt on a 24-Hour Report during the 24-Hour Reporting Period

To file a 24-Hour Report, you must log on to the eFiling website and enter the transaction. The system will create a 24-Hour Report for you to file. The report must then be completely filed - just entering the transaction will not meet the filing requirements. **Remember, MCEA candidates:** although you can enter the transaction, only your treasurer can file the report.

Please let me know if you have any questions.

Erin Gordon

Candidate Registrar

Maine Ethics Commission

(207) 287-3651 | www.maine.gov/ethics

135 State House Station, Augusta, ME 04333-0135

45 Memorial Circle, Augusta, ME

Commission Penalty Decisions - Candidates

| Candidate | Late Report/Other Violation | Preliminary Penalty | Final Penalty | Commission Meeting Date |
|--|---|---------------------|---------------|-------------------------|
| Legislative and County candidates | | | | |
| James Boyle | Late January Semiannual Report | \$1,000.00 | \$500.00 | 03/28/18 |
| Diane Russell | Substantially Non-Compliant January Semiannual | | \$100.00 | 05/30/18 |
| Diane Russell | Substantially Non-Compliant 42-Day Pre-Primary | | \$200.00 | 05/30/18 |
| Ian Schwartz | Late 24-Hour Report | \$384.37 | \$300.00 | 08/29/18 |
| Danny Costain | Late 24-Hour Report | \$2,991.36 | \$300.00 | 08/29/18 |
| Jeffrey Slocum | Late July Semiannual | \$112.00 | \$112.00 | 08/29/18 |
| Mark Lawrence | 11-Day Pre-Primary (not compliant) | \$1,739.73 | \$400.00 | 09/26/18 |
| John Tuttle, Jr. | Late 42-Day Post Primary | \$432.00 | \$250.00 | 09/26/18 |
| Dana Dow | Late 24-Hour Report | \$115.93 | \$115.93 | 11/28/18 |
| Jan Collins | Late 24-Hour Report | \$2,565.38 | \$300.00 | 12/19/18 |
| Cynthia Soma-Hernandez | Commingling of funds | | \$100.00 | 12/19/18 |
| Legislative candidates | | | | |
| John Clark | MCEA Violation-Contributions after Certification | | \$250.00 | 03/06/19 |
| Cathleen Nichols | Late 24-Hour Report | \$3,744.00 | \$400.00 | 03/06/19 |
| James LaBrecque | Late 42-Day Post General | \$235.03 | \$235.03 | 03/06/19 |
| Mark Remick | Late 24-Hour Report (2) | \$2,011.85 | \$400.00 | 03/06/19 |
| Stephen Ball | Commingling of funds waived since he returned \$115 of MCEA funds | | \$100.00 | 06/04/19 |
| Philip Spiller (<i>Municipal</i>) | Late 24-Hour Report (3) | \$2,395.28 | \$900.00 | 12/18/19 |
| County candidates | | | | |
| Edward Cohen | Failure to Register | | \$100.00 | 05/27/20 |
| Mark Lawrence | Late 42-Day Post Primary | \$529.50 | \$350.00 | 10/16/20 |
| Brian Redmond | Late 42-Day Post Primary | \$84.00 | \$84.00 | 10/16/20 |
| Mark Andre | Late 42-Day Post Primary | \$132.00 | \$132.00 | 10/16/20 |
| Jeffrey Pierce | Late 42-Day Pre-General | \$40.37 | \$40.37 | 10/30/20 |
| Steve Collins | No Disclaimer on Signs | | \$200.00 | 12/18/20 |
| City candidates | | | | |
| Troy Jackson | Late 24-Hour Report | \$50.00 | \$50.00 | 01/27/21 |
| Scott Gaiason | Late 11-Day Pre-General Report | \$109.54 | \$109.54 | 01/27/21 |
| William Guerrette | No Disclaimer on Signs | | \$0.00 | 03/08/21 |
| Robyn Stanicki | Late July Semiannual Report | \$637.50 | \$250.00 | 10/29/21 |
| Other candidates | | | | |
| Howard Patten | Failure to Register | | \$100.00 | 04/27/22 |
| Timothy Keenan | Failure to Register | | \$100.00 | 04/27/22 |
| John V. Chambers | Late 42-Day Post Primary | \$34.90 | \$34.90 | 09/28/22 |
| Benjamin J. Meiklejohn | Late 42-Day Post Primary | \$18.03 | \$18.03 | 09/28/22 |
| Nancy Piche | Failure to File SOSOI | | \$100.00 | 09/28/22 |
| Timothy Keenan | Failure to File SOSOI | | \$100.00 | 09/28/22 |
| Daniel Merrill | Failure to File SOSOI | | \$100.00 | 09/28/22 |
| Susan Bernard | No Disclaimer on mailing | | \$75.00 | 10/26/22 |
| Danielle Fienberg | Late 11-Day Pre-General Report | \$368.12 | \$300.00 | 11/30/22 |
| Stephen Hanrahan | Late 24-Hour Report | \$423.54 | \$300.00 | 11/30/22 |
| David Thurston | Late 11-Day Pre-General Report | \$139.82 | \$100.00 | 11/30/22 |

| | | | | |
|--------------------------------|---|------------|------------|----------|
| Scott Gaisaon | Substantially Non-Compliant 11-Day Pre-General Report | \$135.43 | \$135.43 | 11/30/22 |
| Matthea Daughtry | Late 11-Day Pre-General Report | \$658.21 | \$150.00 | 12/21/22 |
| Robert McCarthy | Late 24-Hour Report | \$308.09 | \$300.00 | 12/21/22 |
| | | | | |
| Ronald Russell | Late 24-Hour Report | \$1,573.52 | \$350.00 | 01/30/23 |
| Reagan Paul | Late 24-Hour Report | \$1,945.30 | \$350.00 | 01/30/23 |
| Neil MacLean, Jr. | Late 24-Hour Report | \$3,680.00 | \$350.00 | 01/30/23 |
| Sharri MacDonald | Substantially Non-Compliant Pre-General Report | \$962.47 | \$150.00 | 01/30/23 |
| Sharri MacDonald | Substantially Non-Compliant Pre-General Report | \$293.40 | \$50.00 | 01/30/23 |
| Frank Roma | MCEA Overspending | | \$200.00 | 01/30/23 |
| | | | | |
| Joseph Galletta | Late registration | \$100.00 | \$100.00 | 05/29/24 |
| John DeVeau | 2 Late Semiannual Reports | | \$200.00 | 09/25/24 |
| Richard Cyr | Late 42-Day Pre-General Report | \$498.50 | \$300.00 | 10/30/24 |
| Flavia DeBrito | Late 42-Day Pre-General Report | \$64.90 | \$64.90 | 10/30/24 |
| Candidates for Governor | | | | |
| Mark Eves | Late 24-Hour Report (2) | \$1,378.70 | \$500.00 | 09/26/18 |
| | | | | |
| Paul R. LePage | Late 42-Day Pre-Primary | \$9,091.83 | \$3,000.00 | 06/22/22 |
| Ethan Alcorn | Late 42-Day Pre-Primary | \$200.00 | \$200.00 | 06/22/22 |

21-A M.R.S. § 1017. Reports by candidates

...

3-A. Other candidates. A treasurer of a candidate for state or county office other than the office of Governor shall file reports with the commission and municipal candidates shall file reports with the municipal clerk as follows. Once the first required report has been filed, each subsequent report must cover the period from the end date of the prior report filed.

A. In any calendar year in which an election for the candidate's particular office is not scheduled, when any candidate or candidate's political committee has received contributions in excess of \$500 or made or authorized expenditures in excess of \$500, reports must be filed no later than 11:59 p.m. on July 15th of that year and January 15th of the following calendar year. These reports must include all contributions made to and all expenditures made or authorized by or on behalf of the candidate or the treasurer of the candidate as of the end of the preceding month, except those covered by a previous report.

B. Reports must be filed no later than 11:59 p.m. on the 11th day before the date on which an election is held and must be complete as of the 14th day before that date. If a report was not filed under paragraph A, the report required under this paragraph must cover all contributions and expenditures through the 14th day before the election.

C. If the candidate has an opponent who is on the ballot or who is a declared write-in candidate, any single contribution of \$1,000 or more received or any single expenditure of \$1,000 or more made after the 14th day before any election and more than 24 hours before 11:59 p.m. on the day of any election must be reported within 24 hours of that contribution or expenditure. The candidate or treasurer is not required to include in this report expenditures for overhead expenses or compensation paid to an employee or other member of the campaign staff who has received payments at regular intervals that have been disclosed in previously filed campaign finance reports. As used in this paragraph, "overhead expenses" includes, but is not limited to, rent, utility payments, taxes, insurance premiums or similar administrative expenses.

D. Reports must be filed no later than 11:59 p.m. on the 42nd day after the date on which an election is held and must be complete for the filing period as of the 35th day after that date.

D-1. Reports must be filed no later than 11:59 p.m. on the 42nd day before the date on which an election is held and must be complete as of the 49th day before that date, except that this report is not required for candidates for municipal office, unless required by the municipality. Certified candidates and participating candidates, as defined under section 1122, subsections 1 and 6, respectively, are not required to file a report on the 42nd day before a primary election pursuant to this section.

E. Unless further reports will be filed in relation to a later election in the same calendar year, the disposition of any surplus or deficit in excess of \$100 shown in the reports described in paragraph D must be reported as provided by this paragraph. The treasurer of a candidate with

a surplus or deficit in excess of \$100 shall file reports semiannually with the commission within 15 days following the end of the 2nd and 4th quarters of the State's fiscal year, complete as of the last day of the quarter, until the surplus is disposed of or the deficit is liquidated. The first report under this paragraph is not required until the 15th day of the period beginning at least 90 days from the date of the election. The reports will be considered timely if filed electronically or in person with the commission on that date or postmarked on that date. The reports must set forth any contributions for the purpose of liquidating the deficit, in the same manner as contributions are set forth in other reports required in this section.

F. Reports with respect to a candidate who seeks nomination by petition must be filed on the same dates that reports must be filed by a candidate for the same office who seeks that nomination by primary election.

...

21-A M.R.S. § 1020-A. Failure to file on time

1. Registration. A candidate that fails to register the name of a candidate, treasurer or political committee with the commission within the time allowed by section 1013-A, subsection 1 may be assessed a forfeiture of \$100. The commission shall determine whether a registration satisfies the requirements for timely filing under section 1013-A, subsection 1.

2. Campaign finance reports. A campaign finance report is not timely filed unless a properly signed or electronically submitted copy of the report, substantially conforming to the disclosure requirements of this subchapter, is received by the commission by 11:59 p.m. on the date it is due. Except as provided in subsection 7, the commission shall determine whether a report satisfies the requirements for timely filing. The commission may waive a penalty in whole or in part if the commission determines that the penalty is disproportionate to the size of the candidate's campaign, the level of experience of the candidate, treasurer or campaign staff or the harm suffered by the public from the late disclosure. The commission may waive the penalty in whole or in part if the commission determines the failure to file a timely report was due to mitigating circumstances. For purposes of this section, "mitigating circumstances" means:

- A. A valid emergency determined by the commission, in the interest of the sound administration of justice, to warrant the waiver of the penalty in whole or in part;
- B. An error by the commission staff;
- C. Failure to receive notice of the filing deadline; or
- D. Other circumstances determined by the commission that warrant mitigation of the penalty, based upon relevant evidence presented that a bona fide effort was made to file the report in accordance with the statutory requirements, including, but not limited to, unexplained delays in postal service or interruptions in Internet service.

3. Municipal campaign finance reports. Municipal campaign finance reports must be filed, subject to all the provisions of this subchapter, with the municipal clerk on forms prescribed by the Commission on Governmental Ethics and Election Practices. The municipal clerk shall send any notice of lateness required by subsection 6 and shall notify the commission of any late reports subject to a penalty.

4-A. Basis for penalties. The penalty for late filing of a report required under this subchapter is a percentage of the total contributions or expenditures for the filing period, whichever is greater, multiplied by the number of calendar days late, as follows:

- A. For the first violation, 2%;
- B. For the 2nd violation, 4%; and
- C. For the 3rd and subsequent violations, 6%.

Any penalty of less than \$25 is waived.

Violations accumulate on reports with filing deadlines in a 2-year period that begins on January 1st of each even-numbered year. Waiver of a penalty does not nullify the finding of a violation.

A report required to be filed under this subchapter that is sent by certified or registered United States mail and postmarked at least 2 days before the deadline is not subject to penalty.

5-A. Maximum penalties. Penalties assessed under this subchapter may not exceed:

- A. Five thousand dollars for reports required under section 1017, subsection 2, paragraph B, C, D, E or H; section 1017, subsection 3-A, paragraph B, C, D, D-1 or F; and section 1017, subsection 4, except that if the dollar amount of the financial activity that was not timely filed or did not substantially conform to the reporting requirements of this subchapter exceeds \$50,000, the maximum penalty is 100% of the dollar amount of that financial activity;
 - A-1. Five thousand dollars for reports required under section 1019-B, subsection 4, except that if the dollar amount of the financial activity that was not timely filed or did not substantially conform to the reporting requirements of this subchapter exceeds \$50,000, the maximum penalty is 100% of the dollar amount of that financial activity;
- B. Five thousand dollars for state party committee reports required under section 1017-A, subsection 4-A, paragraphs A, B, C and E, except that if the dollar amount of the financial activity that was not timely filed or did not substantially conform to the reporting requirements of this subchapter exceeds \$50,000, the maximum penalty is 100% of the dollar amount of that financial activity;
- C. One thousand dollars for reports required under section 1017, subsection 2, paragraphs A and F and section 1017, subsection 3-A, paragraphs A and E; or
- D. Five hundred dollars for municipal, district and county committees for reports required under section 1017-A, subsection 4-B.

6. Request for a commission determination. If the commission staff finds that a candidate or political committee has failed to file a report required under this subchapter, the commission staff shall mail a notice to the candidate or political committee within 3 business days following the filing deadline informing the candidate or political committee that a report was not received. If a candidate or a political committee files a report required under this subchapter late, a notice of preliminary penalty must be sent to the candidate or political committee whose registration or campaign finance report was not received by 11:59 p.m. on the deadline date, informing the candidate or political committee of the staff finding of violation and preliminary penalty calculated under subsection 4-A and providing the candidate or political committee with an opportunity to request a determination by the commission. Any request for a determination must be made within 14 calendar days of receipt of the commission's notice. A candidate or political committee requesting a determination may either appear in person or designate a representative to appear on the candidate's or political committee's behalf or submit a sworn statement explaining the mitigating circumstances for consideration by the commission. A final determination by the commission may be appealed to the Superior Court in accordance with Title 5, chapter 375, subchapter 7 and the Maine Rules of Civil Procedure, Rule 80C.

7. Final notice of penalty. If a determination has been requested by the candidate or political committee and made by the commission, notice of the commission's final determination and the penalty, if any, imposed pursuant to this subchapter must be sent to the candidate and the political committee.

If a determination is not requested, the preliminary penalty calculated by the commission staff is final. The commission staff shall mail final notice of the penalty to the candidate and treasurer. A detailed summary of all notices must be provided to the commission.

8. Failure to file report. The commission shall notify a candidate who has failed to file a report required by this subchapter, in writing, informing the candidate of the requirement to file a report. The notice must be sent by certified mail. If a candidate fails to file a report after 2 notices have been sent by the commission, the commission shall send a final notice by certified mail informing the candidate of the requirement to file and that the matter may be referred to the Attorney General for criminal prosecution. A candidate who fails to file a report as required by this subchapter after the commission has sent the notices required by this subsection is guilty of a Class E crime.

8-A. Penalties for failure to file report. The penalty for failure to file a report required under this subchapter may not exceed the maximum penalties as provided in subsection 5-A.

9. List of late-filing candidates. The commission shall prepare a list of the names of candidates who are late in filing a report required under section 1017, subsection 2, paragraph C or D or section 1017, subsection 3-A, paragraph B or C within 30 days of the date of the election and shall make that list available for public inspection.

10. Enforcement. A penalty assessed pursuant to this section that has not been paid in full within 30 days after issuance of a notice of the final determination may be enforced in accordance with section 1004-B.